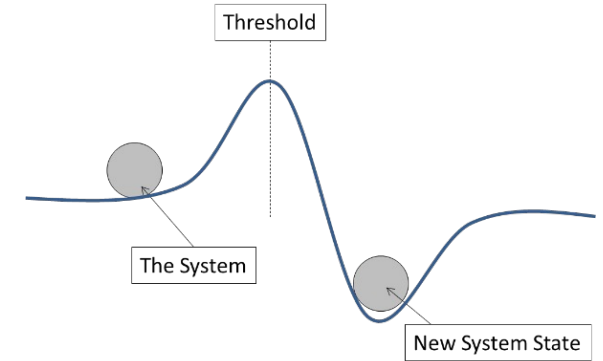
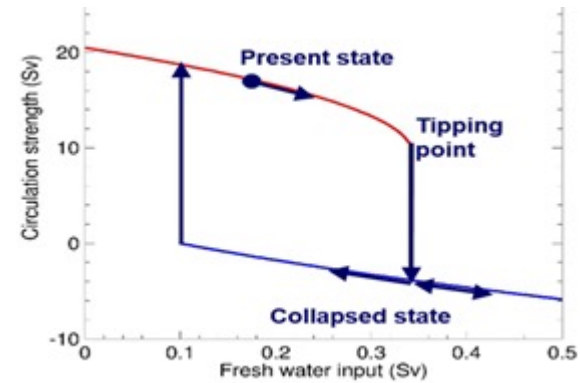
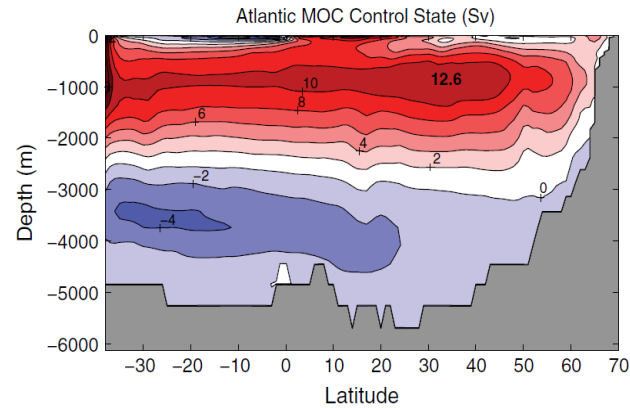


# Tipping components of the climate system

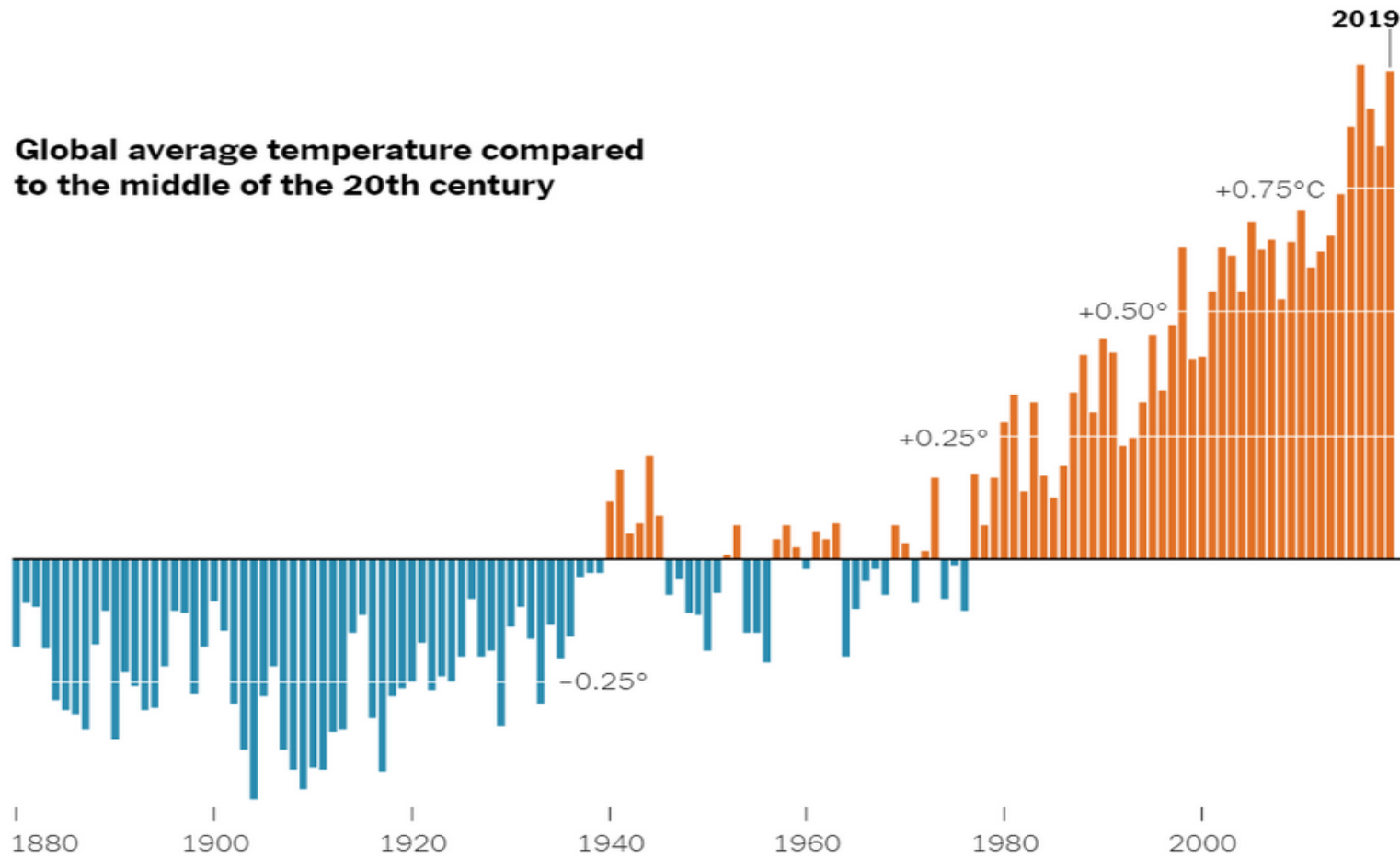
Mihai Dima

Alfred Wegener Institute Helmholtz Center for Polar and Marine Research

University of Bucharest, Faculty of Physics

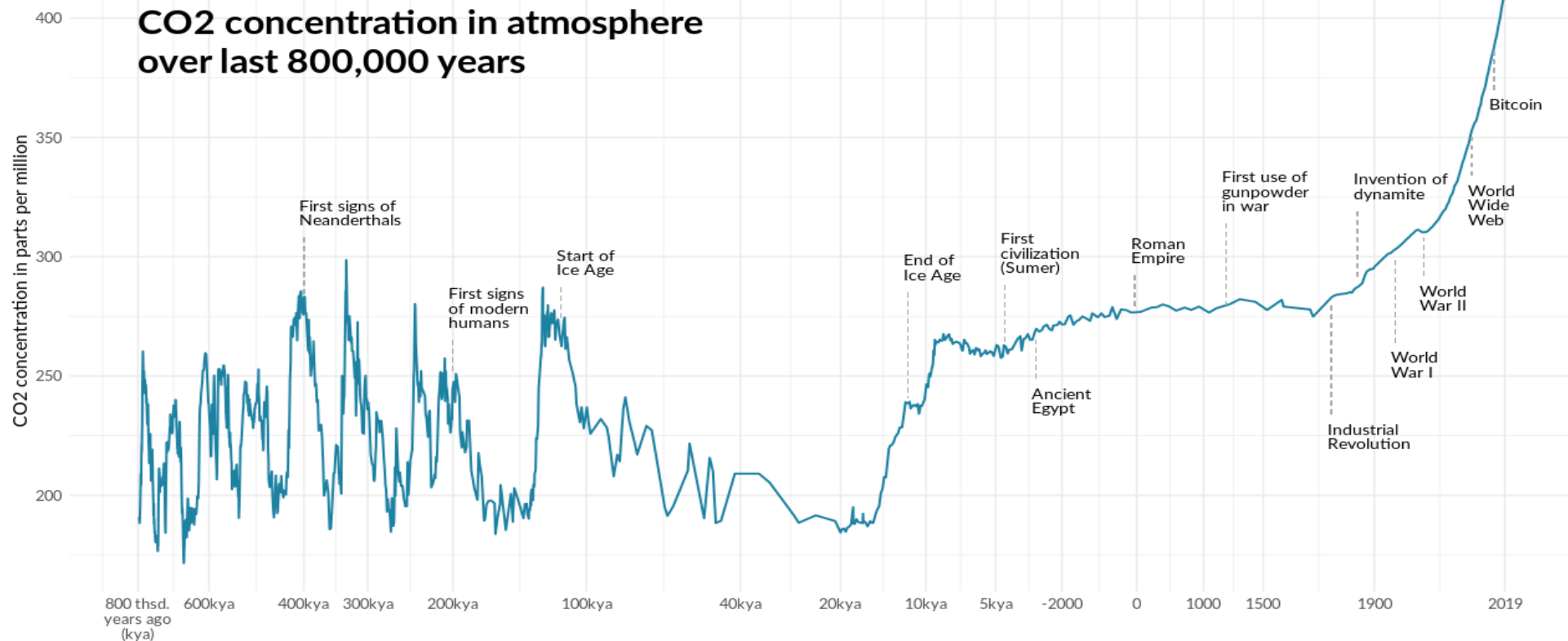


# Global warming



- The Earth's surface is warming, due to human activity (greenhouse gases, e.g. CO<sub>2</sub>).
- Should we be worried?

# Evolution of atmospheric CO<sub>2</sub> concentration over the last 800.000 years



Time is warped using sqrt scale before 1900 for readability. Graphic: Gregor Aisch, vis4.net  
Source: NOAA (1959-today), NASA (1850-1958), Monnin et al., Petit et al., Siegenthaler et al., Luethi et al. (800kya-1850)

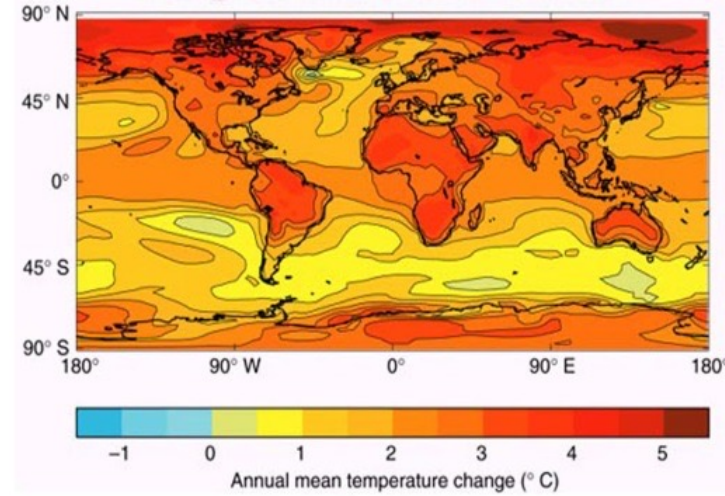
Evolution of atmospheric CO<sub>2</sub> concentration (ppmv) over the last 800.000 years, reconstructed based on a core in the Antarctic icesheet

- The increase of atmospheric CO<sub>2</sub> concentration in the last century is similar with that from glacial to interglacial transition.
- The growing rate is much higher than the natural one.
- Atmospheric CO<sub>2</sub> concentration is a climate parameter with global impact!

# What types of climate changes one should expect in response to record CO<sub>2</sub> level?



- **Linear response**



- **Nonlinear response**

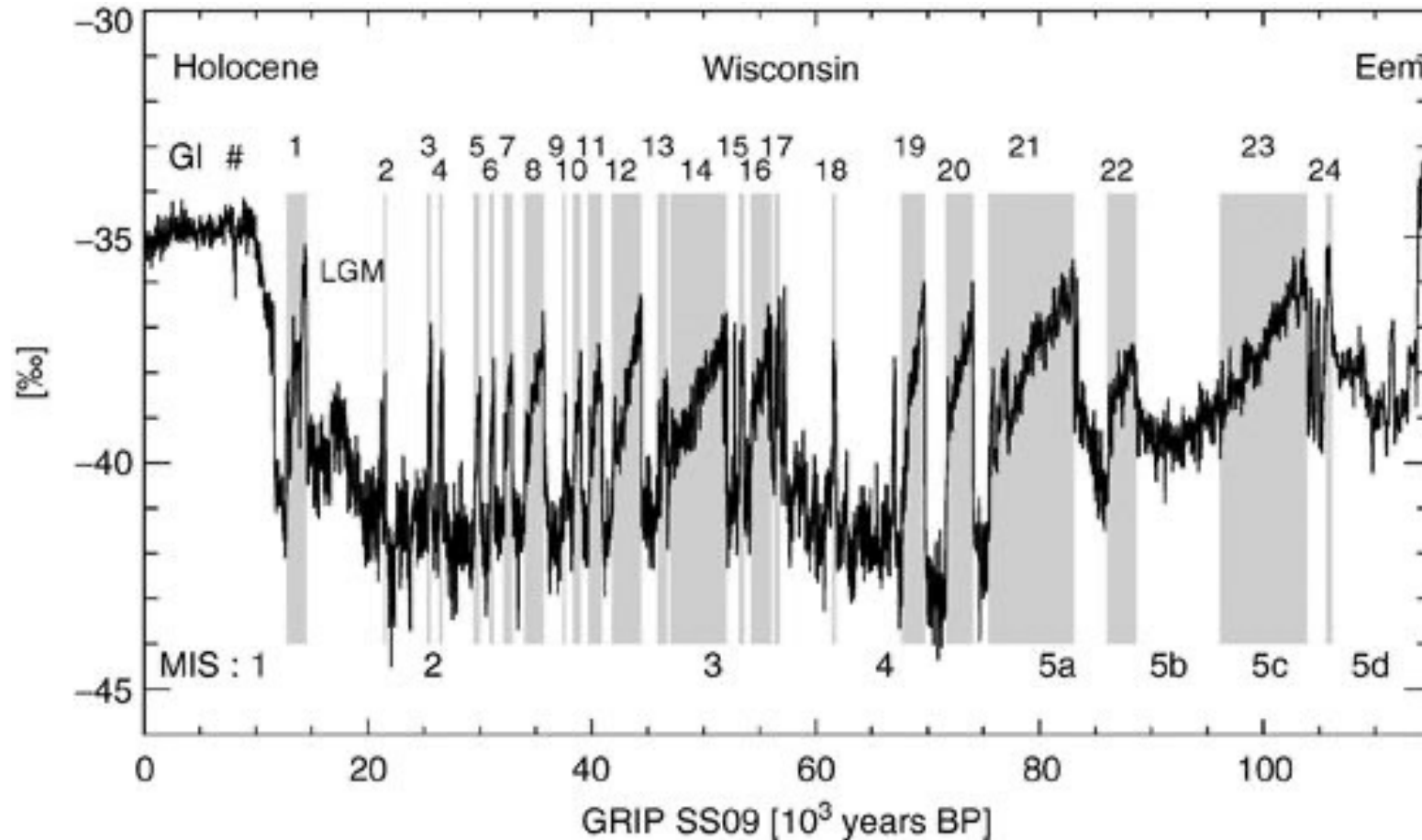
- Extreme events



- Any other type of nonlinear response?



# Past abrupt climate changes



Oxygen isotopes ratio as a proxy for temperature above Greenland, derived from a core in this ice-sheet

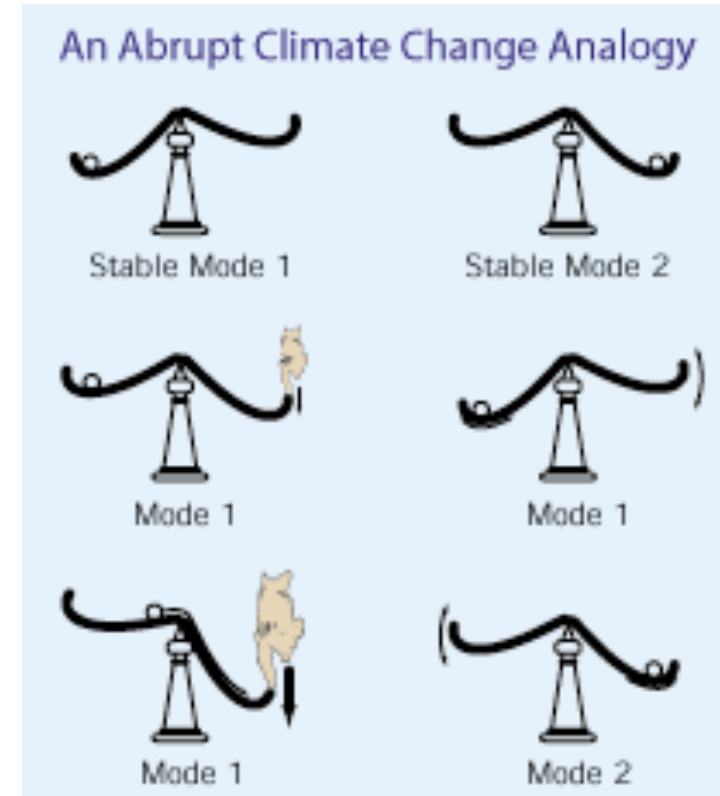
- The abrupt warmings in Greenland (up to 10°C in a few years), known as Dansgaard-Oeschger events (marked with numbers in the figure above), represent the most spectacular abrupt climate changes of the last 120.000 years and a topic of intensive research.

# Analogy for abrupt climate changes



Key properties of the system:

- it has two stable states;
- there are rapid transitions between states;
- the transitions proceed when the forcing exceeds a specific threshold.



- There are components in the climate system which have these properties?

# Tipping components of the climate system



- **Tipping components**

- parts of the climate system which can suffer irreversible (and rapid) transitions between two distinct states;

- are associated with high climatic risk, due to their rapid irreversible transitions and potential disrupting impact on the society;

- among them, the **Atlantic Meridional Overturning Circulation (AMOC)** is one connected with several other tipping components.

## RAISING THE ALARM

Evidence that tipping points are under way has mounted in the past decade. Domino effects have also been proposed.



**A. Amazon rainforest**  
Frequent droughts

**B. Arctic sea ice**  
Reduction in area

**C. Atlantic circulation**  
In slowdown since 1950s

**D. Boreal forest**  
Fires and pests changing

**F. Coral reefs**  
Large-scale die-offs

**G. Greenland ice sheet**  
Ice loss accelerating

**H. Permafrost**  
Thawing

**I. West Antarctic ice sheet**  
Ice loss accelerating

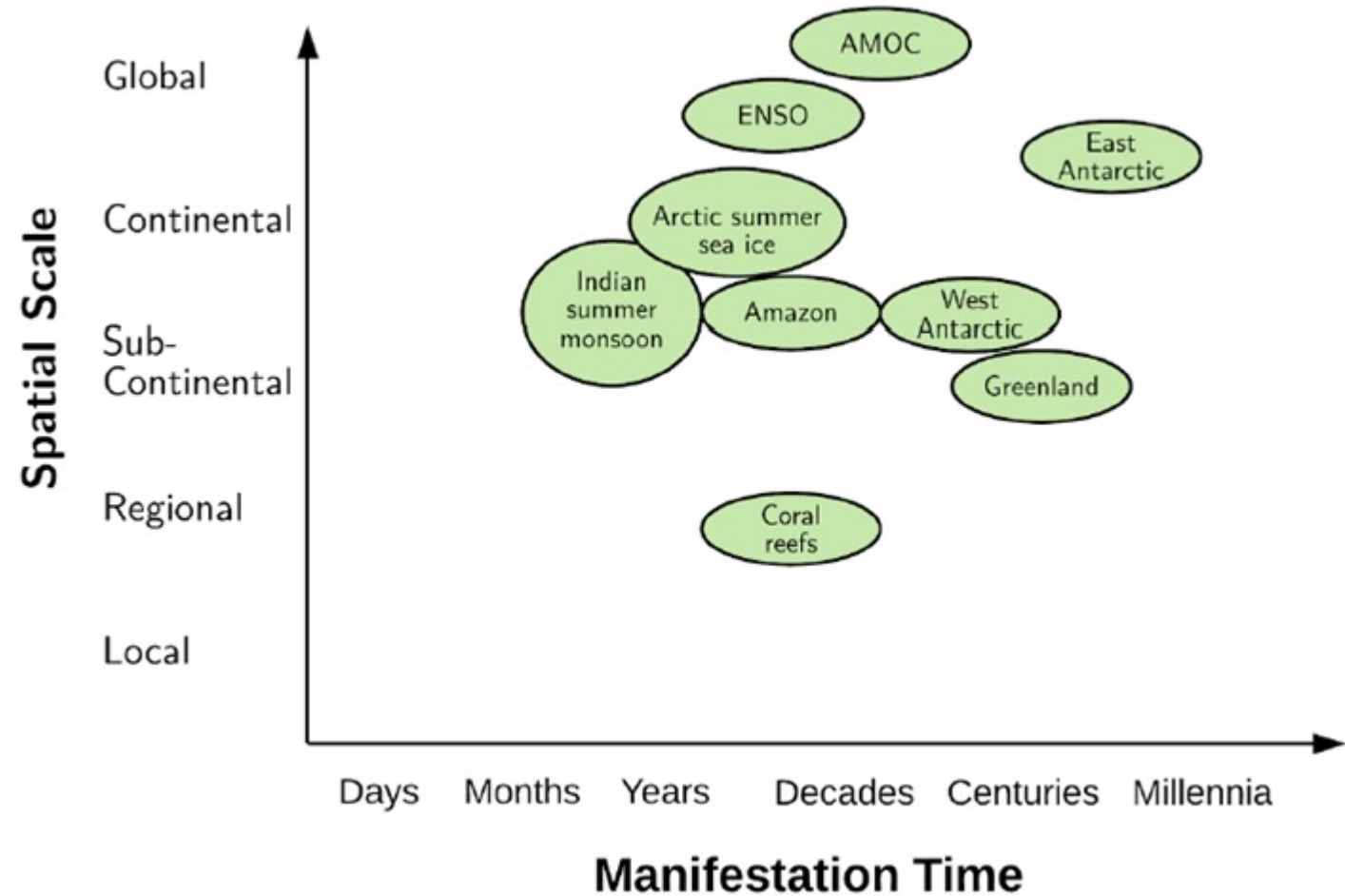
**J. Wilkes Basin, East Antarctica**  
Ice loss accelerating

*Lenton et al. (2019)*



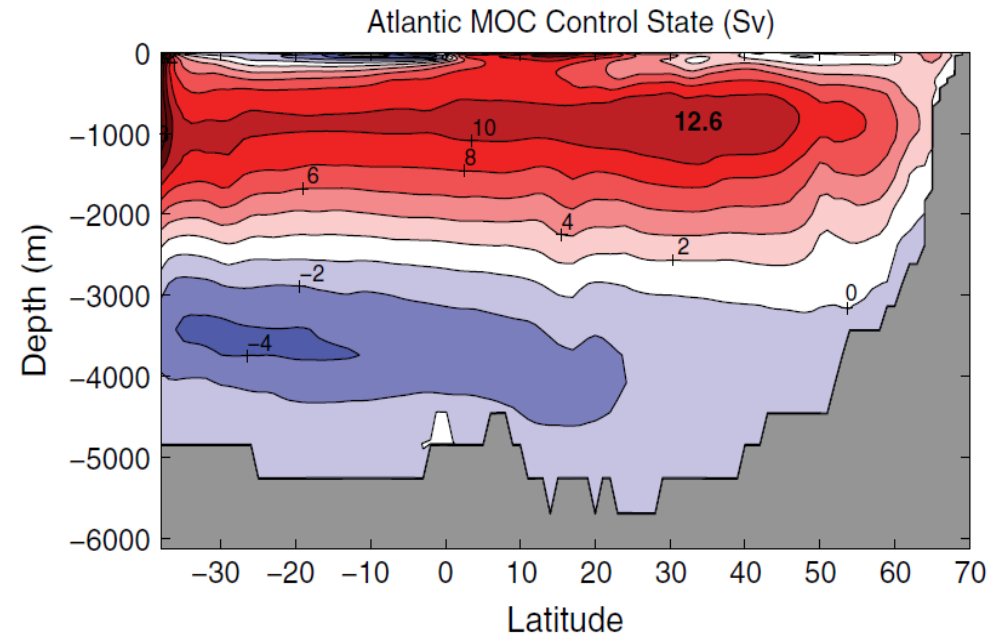
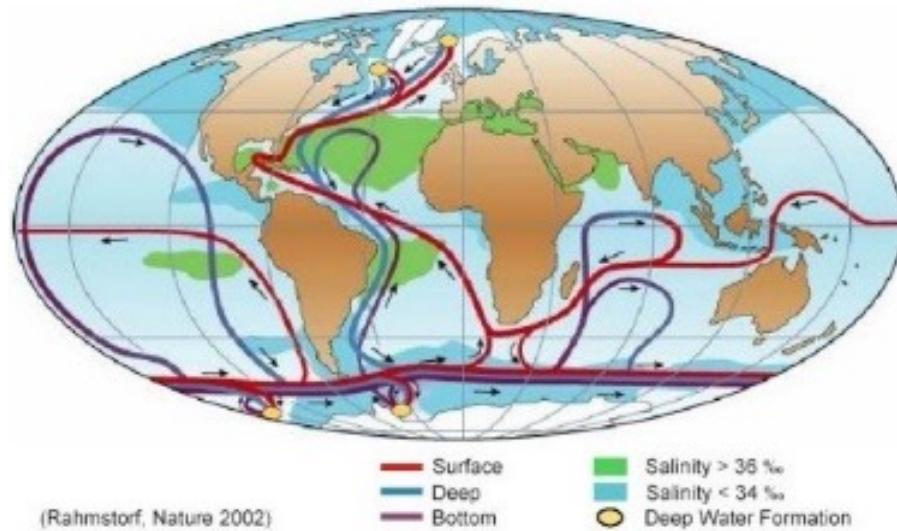
# Tipping components of the climate system

- Among the tipping components, AMOC has the largest spatial impact.
- It has also a relatively short characteristic time scale.





# Thermohaline circulation

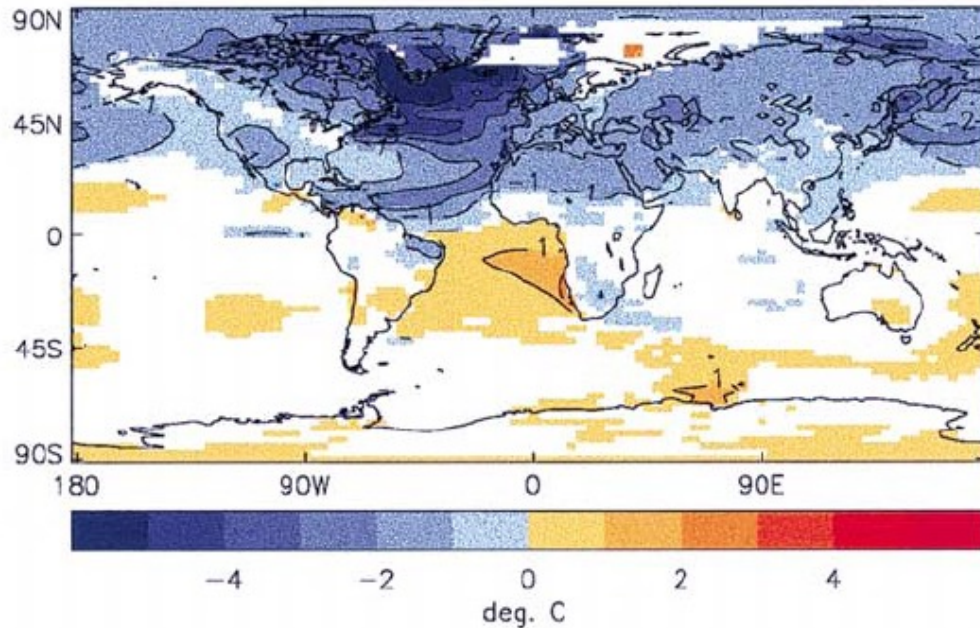


- Thermohaline circulation (THC) – the part of the global ocean circulation, which is driven by water density differences.
- Ocean density increases with decreasing temperature and with growing salinity.
- In Atlantic, salty and warm waters are advected poleward. At mid and high latitudes they are losing heat to atmosphere. Consequently, in North Atlantic the surface waters became very dense.
- In North Atlantic the surface water density is higher than at depth and therefore oceanic convection forms. The descending motion is compensated by upwelling in South Atlantic and a meridional cell forms in this basin.

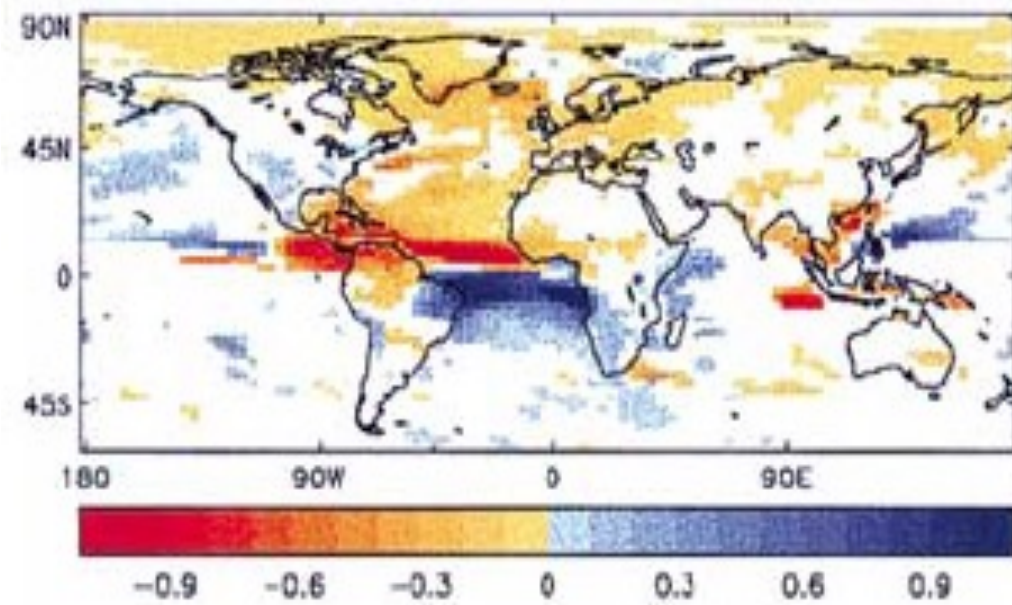
# Why investigating AMOC?



## Surface temperature anomaly



## Precipitation rate anomaly



Surface temperature (°C) and precipitation rate (m/year) anomalies induced by an AMOC shutdown (Vellinga and Wood 2002)

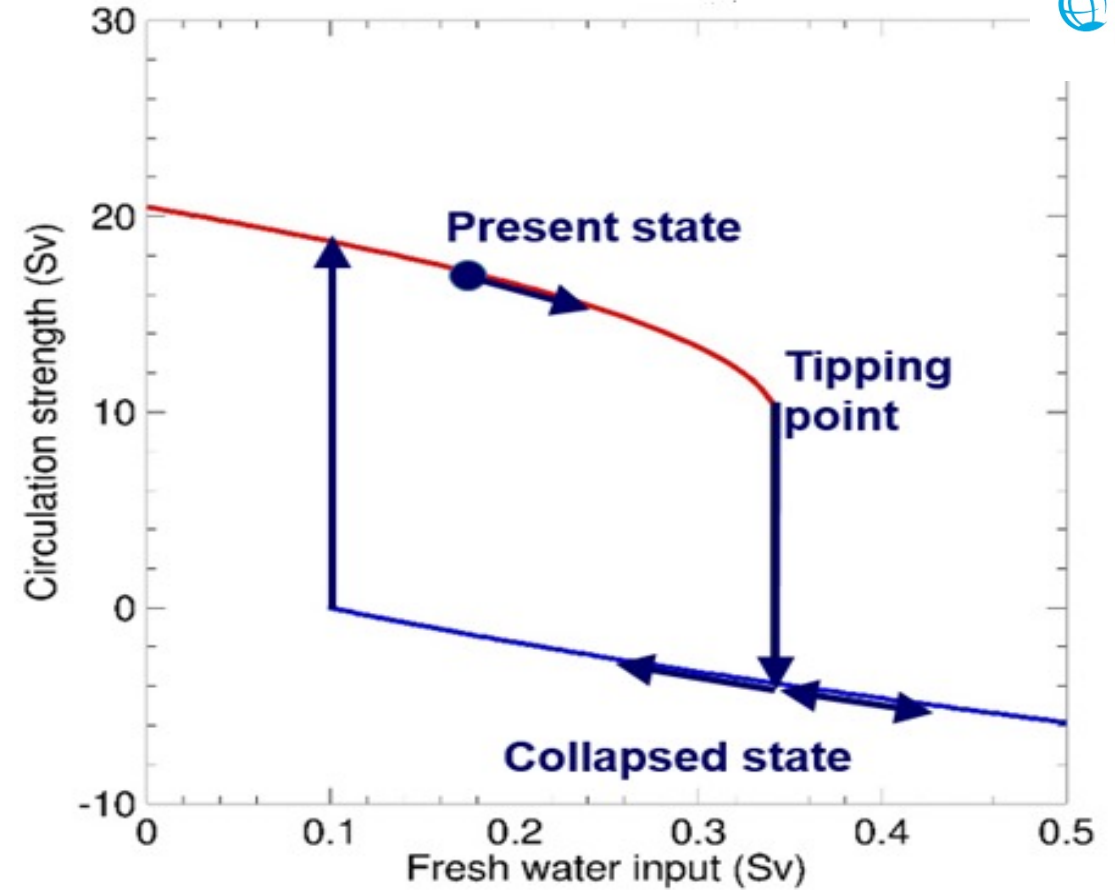
AMOC is of significant interest because:

- has a worldwide heterogeneous climate impact (e.g. is warming the North Western Europe and provides a mild climate);
- it played a central role in most abrupt climate changes of the last 120.000 years;
- theoretical studies show that it has a nonlinear dynamics and it can suffer rapid jumps between two distinct states;
- could be affected by freshwaters resulting from ice melting in Arctic and North Atlantic, under global warming.

# The hysteresis property of AMOC



- The AMOC stability is synthesized in its hysteresis diagram.
- The hysteresis diagram is constructed with a General Circulation Model in which freshwater in North Atlantic is increased and decreased slowly.
- The defintory characteristics of the diagram:
  - two stable states;
  - two tipping points;
  - Irreversible (rapid) transitions between states, after tipping points;
  - two stability regimes: monostable and bistable.

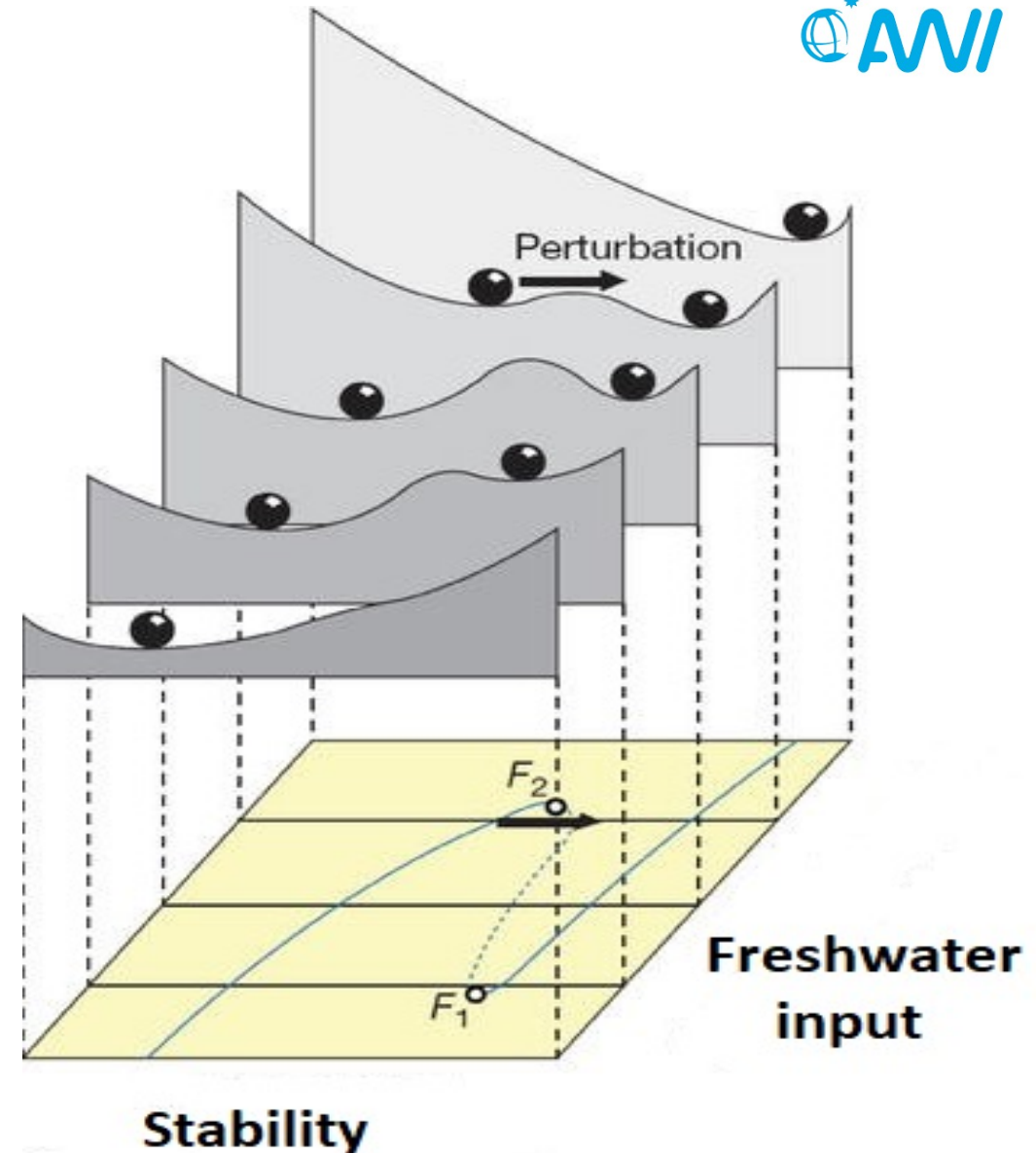


AMOC hysteresis behavior in response to freshwater forcing

# AMOC stability

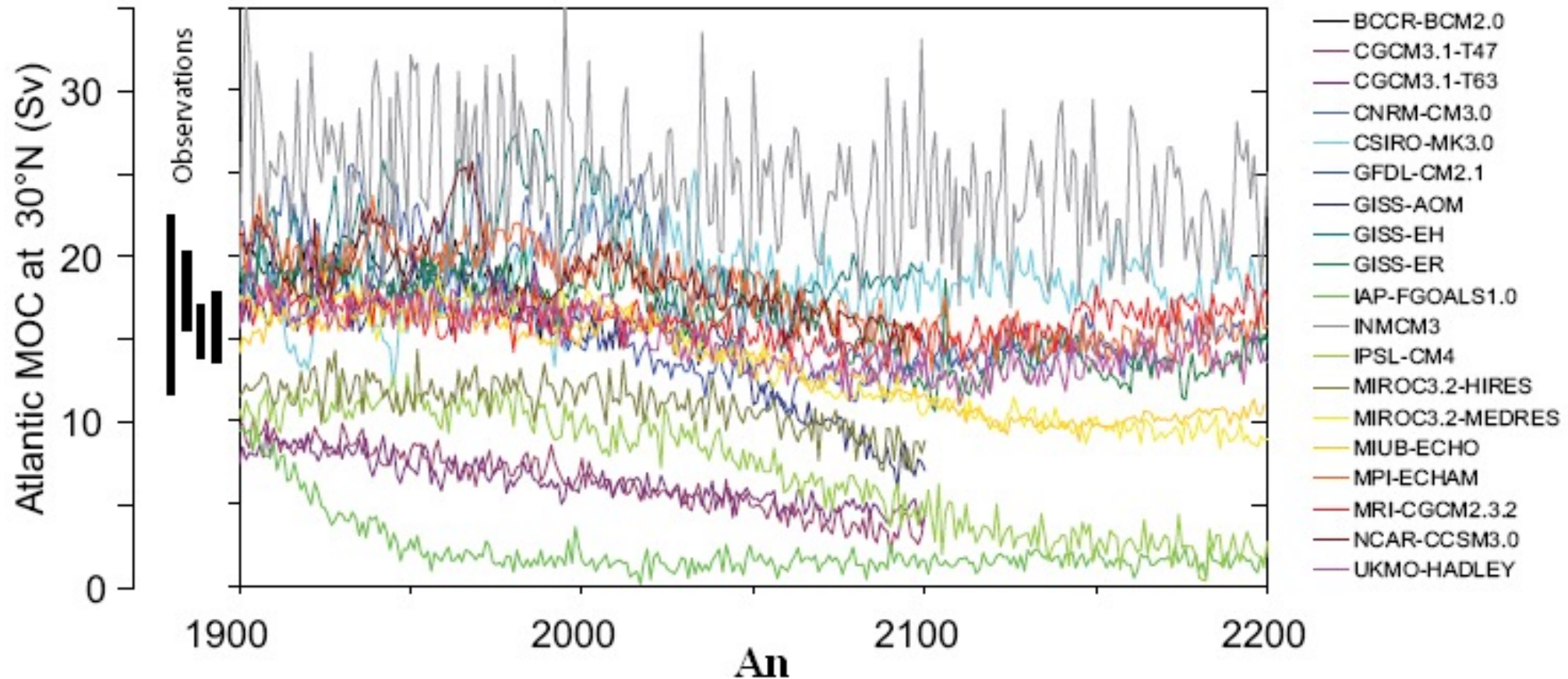


- As is observed in figure, as the freshwater input increases, the monostable regime is transformed in a bistable one, which includes rapid transitions between distinct states.
- Further, if the increase of freshwater continues, then the bistable regime is transformed in another monostable regime.
- Currently, AMOC is in a monostable or in a bistable regime?
- If it is in the bistable regime, how far it is from the tipping point?





# What climate models indicate about future AMOC evolution?

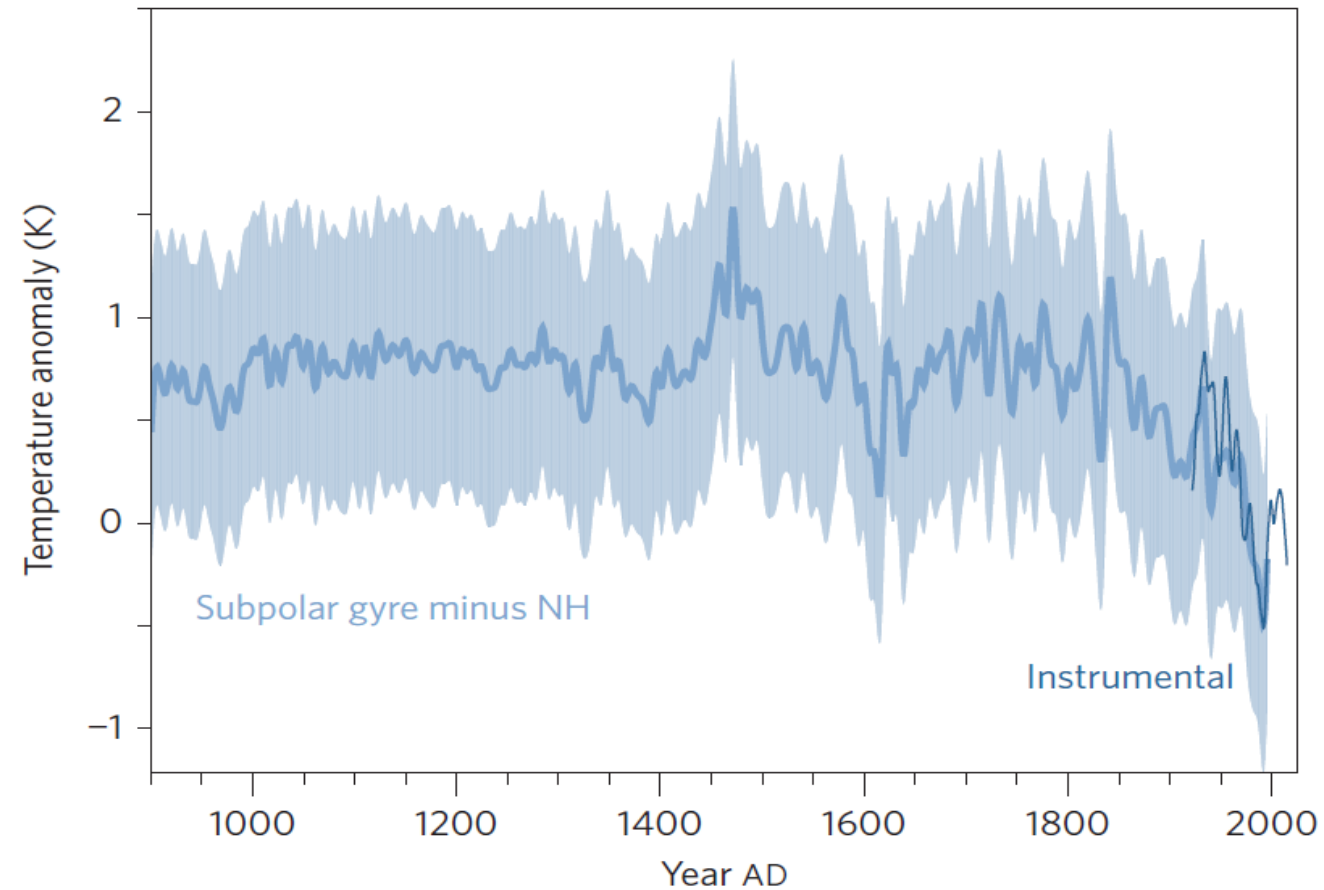


AMOC projections performed with different GCMs (IPCC 2013)

- Most of the models show a gradual AMOC weakening over the 21<sup>st</sup> century.
- However, the significant quantitative differences between models point to large uncertainties regarding the AMOC fate in a warming world.

# What is data indicating about the present AMOC state?

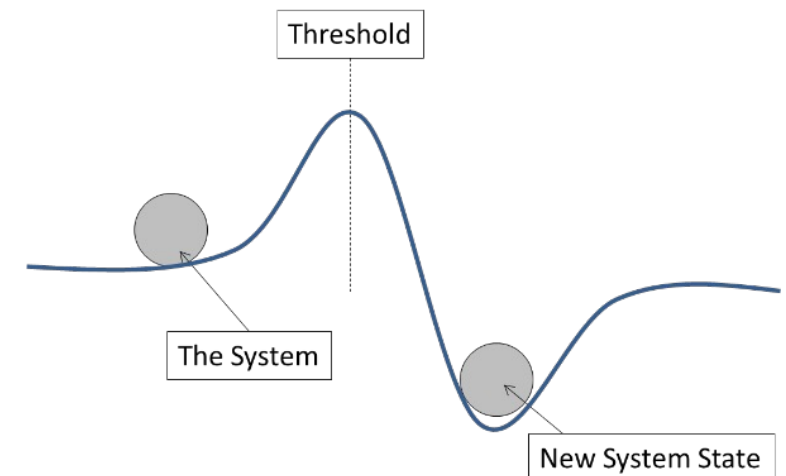
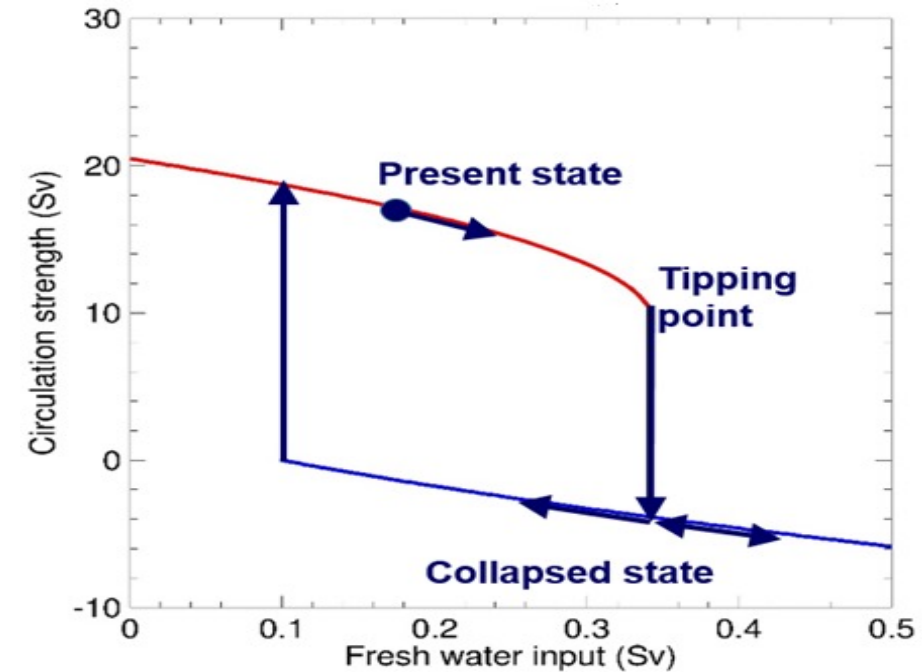
- Direct measurements on AMOC are available only for about the last 15 years. It is too short to emphasize a potential centennial scale weakening.
- However, an AMOC reconstruction based on proxy data indicate that in the last decades it reached the lowest level in the last millennium.
- How could we investigate how far/close is AMOC from the tipping point?



AMOC reconstruction for the past millennium

# Tipping component/point - definitions

- **Tipping component - large-scale subsystem of the Earth system that can be switched by small perturbations into a qualitatively different state.**  
(these must be at least sub-continental in scale - length scale of order ~1,000 km).
- The phrase ‘tipping point’ captures the colloquial notion that **‘little things can make a big difference’**, that is, at a particular moment in time, a small change can have large, long-term consequences for a system.
- **Tipping point – threshold value of a parameter, which when it is exceeded, the system which it characterizes suffers a nonlinear qualitative change of its state, driven by internal feedbacks, which inevitably lead to a qualitatively different state.**
- Human-induced climate change could push several large-scale ‘tipping climatic components’ (e.g. AMOC, Greenland icesheet, Indian monsoon) over their tipping points.



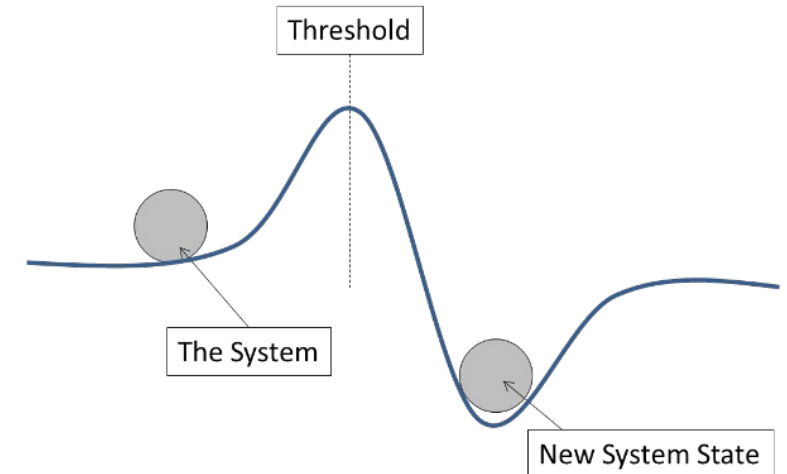


# Generality of typing components/points



- It is becoming increasingly clear that many complex systems have **critical thresholds**—so-called **tipping points** — at which the system shifts abruptly from one state to another:
  - Medicine - spontaneous systemic failures such as asthma attacks or epileptic seizures;
  - Global finance - markets crashes;
  - Earth system - abrupt shifts in ocean circulation
  - Biology - catastrophic shifts in fish populations

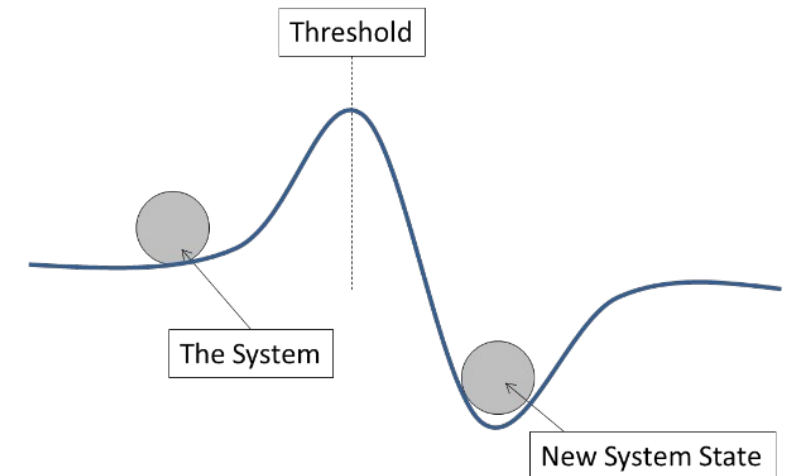
- Could the approachings of tipping points be anticipated?



# Early warning signals (EWS)

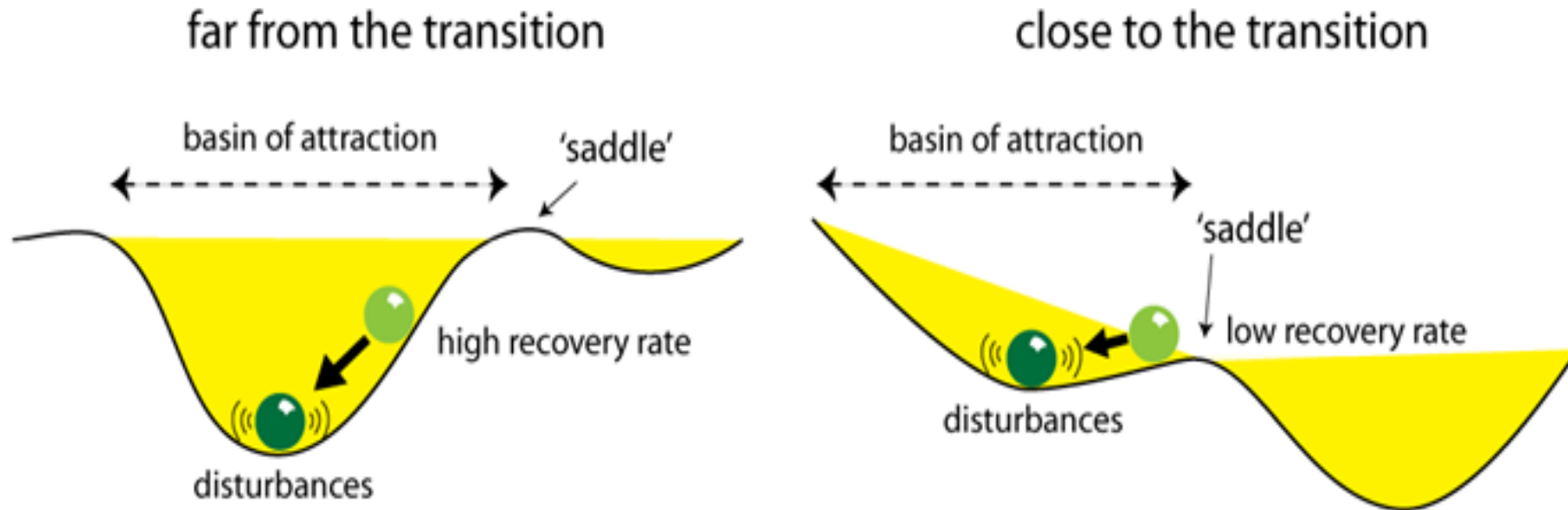


- Although predicting such critical points before they are reached is extremely difficult, work in different scientific fields is now suggesting the existence of generic **early-warning signals** that may indicate, for a wide class of systems, if a critical threshold is approached.
- Early warning can take several forms:
  - knowledge that an event could occur;
  - qualitative assessment that it is becoming more likely;
  - forecast of its timing.



# Critical slowing down

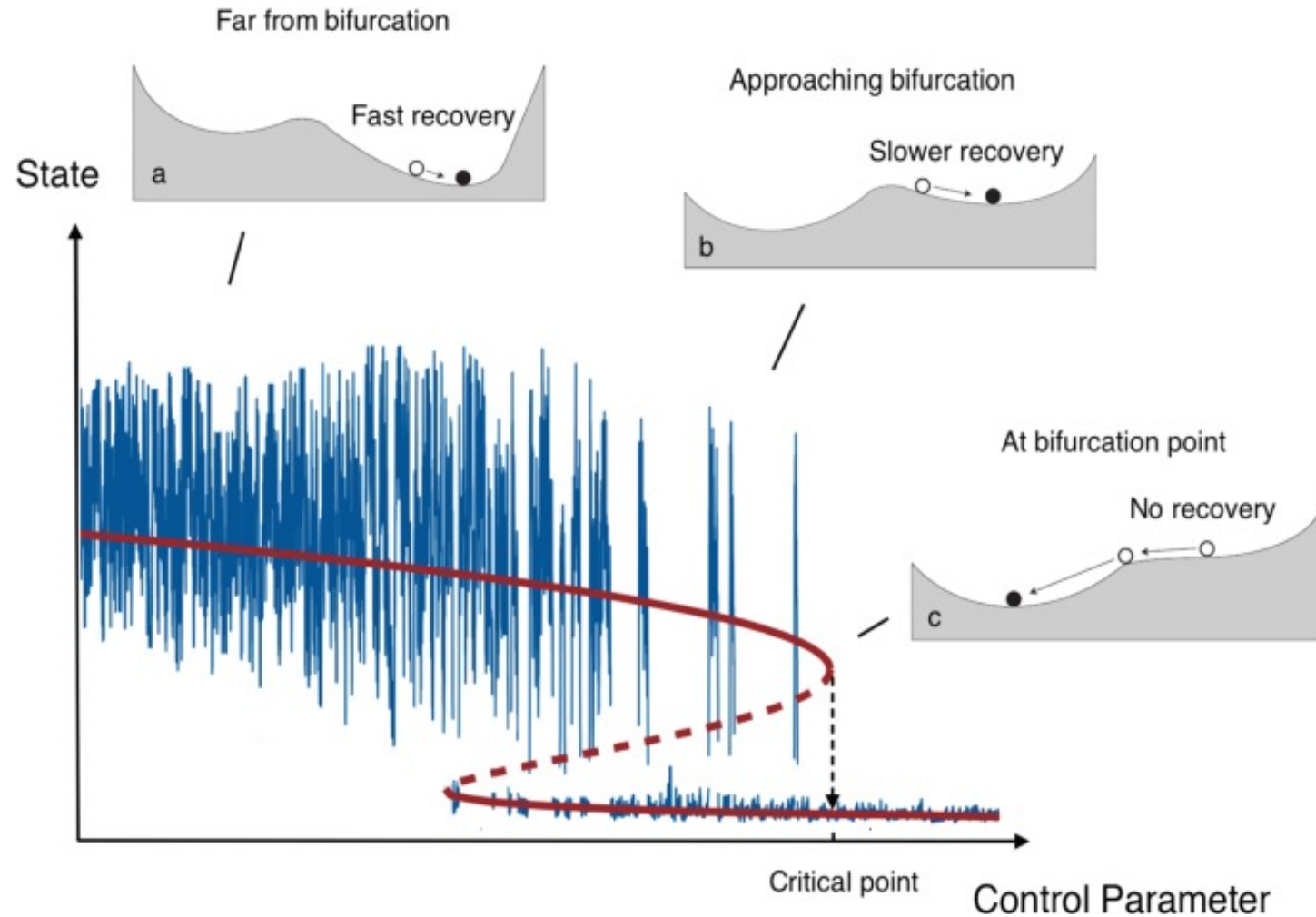
- The most important clues that have been suggested as indicators of whether a system is getting close to a critical threshold are related to a phenomenon known in dynamical systems theory as **critical slowing down**.
- When a system approaches a bifurcation point where its current state becomes unstable, and it switches to some other state, one can expect to see it becoming more sluggish in its response to small perturbations.



- Mathematically, for systems that are gradually approaching a bifurcation point in their equilibrium solutions, the leading eigenvalue tends towards zero, indicating a tendency towards infinitely slow recovery from perturbations.
- This phenomenon — termed 'critical slowing down' in dynamical systems theory — has only recently been applied to climate dynamics.

# Critical slowing down

- Analyzes of various models shows that such slowing down typically starts far from the bifurcation point, and that **recovery rates decrease smoothly to zero as the critical point is approached.**
- Slowing down causes the intrinsic rates of change in a system to decrease, and therefore the state of the system at any given moment should become more like its past state. This increase in memory can be measured in a variety of ways.
- How one could detect a decrease of the recovery rate and an increase in memory?



# Increasing variance and autocorrelation as EWS of critical transitions

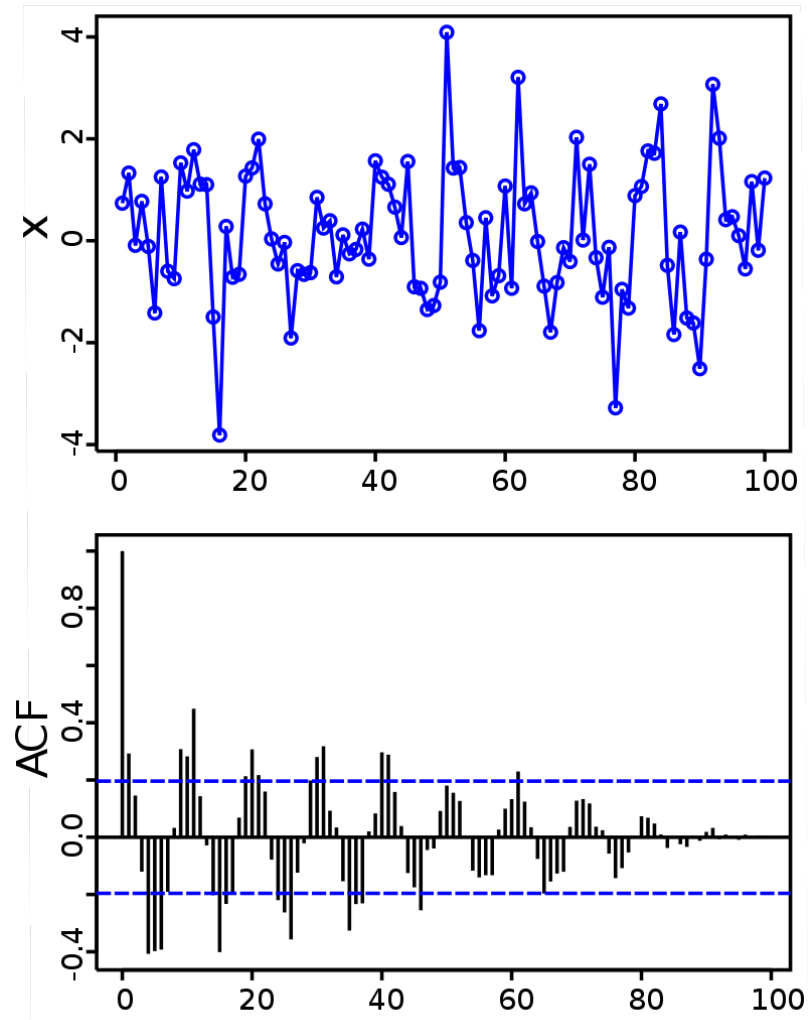
- **Variance** - measures how far a set of numbers is spread out from their average value:

$$\text{Var}(X) = \frac{1}{n} \sum_{i=1}^n (x_i - \bar{x})^2$$

- **Autocorrelation** - the correlation of a time series with a delayed copy of itself, as a function of lag.
- The correlation of a time series with a one-time step delayed copy of itself it is named **lag-1 autocorrelation**.
- It is a measure of the **memory** contained in the time series.

$$r_k = \frac{\sum_{i=1}^{N-k} (X_i - \bar{X})(X_{i+k} - \bar{X})}{\sum_{i=1}^N (X_i - \bar{X})^2}$$

$$r_k \in [-1, 1]$$



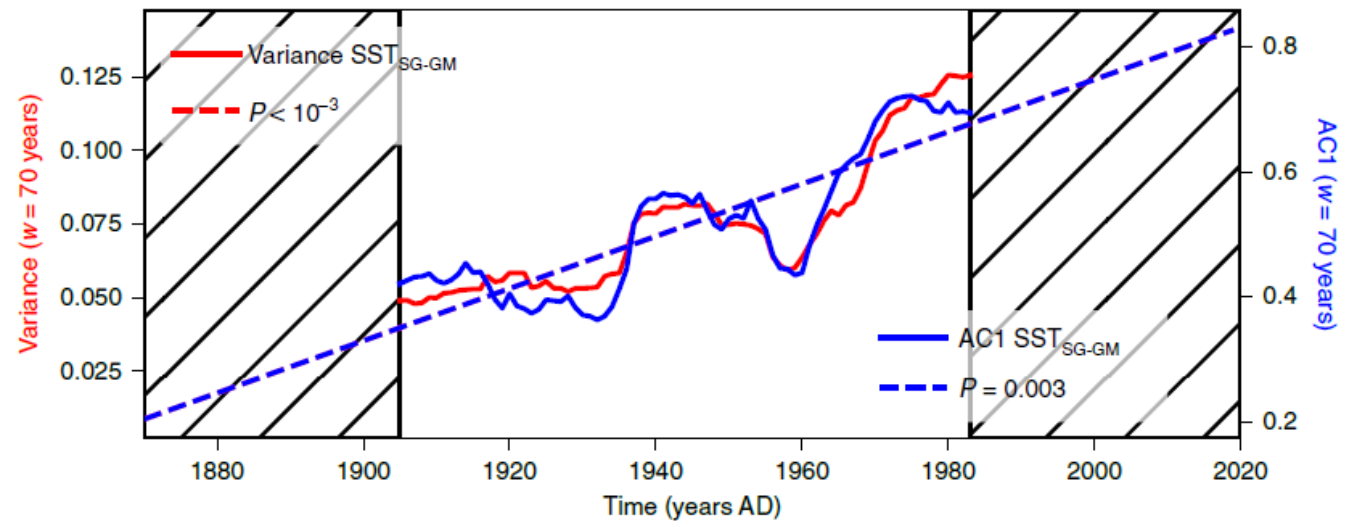
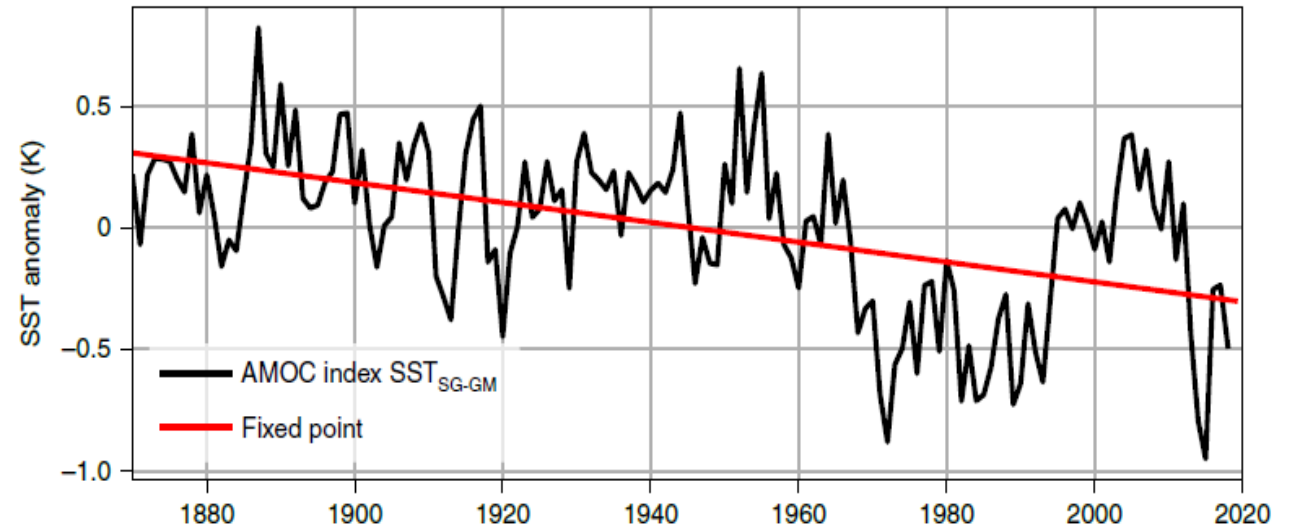
A time series (top) and its autocorrelation function (bottom).



# Example of application of EWS to observed AMOC variability



- EWS are computed for an AMOC index constructed based on observational data (top panel)
- Variance and autocorrelation are increasing toward present, indicating that AMOC is approaching a tipping point



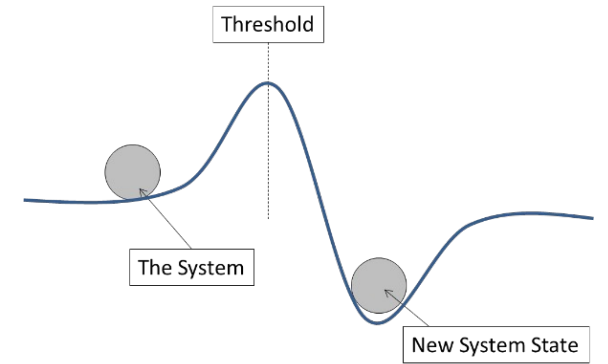
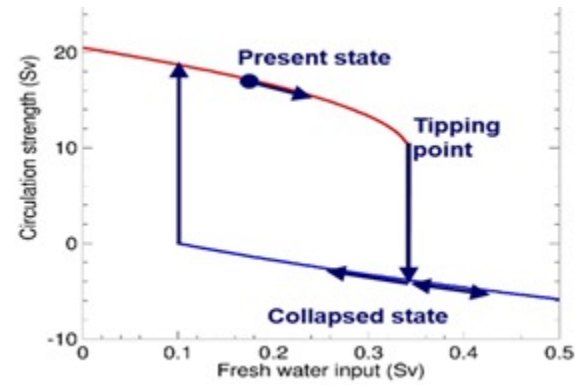
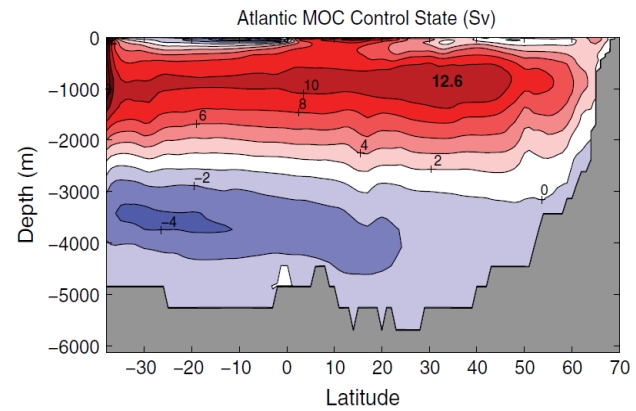
# Summary



- There are several tipping components in the climate system, some of them connected to each other; they could generate a cascade of nonlinear irreversible transitions.
- Tipping component - large-scale subsystem of the Earth system that can be switched by small perturbations into a qualitatively different state.
- Climate change could manifest as nonlinear irreversible (and rapid) variations, generated by responses of tipping components to anthropogenic forcing.
- AMOC is one of the climatic tipping components, with quasi-global impact; recent observations study indicates that it is approaching a tipping point.
- A key question is how far are the climatic tipping components from their critical points?
- The approach of a tipping point is in principle signaled by critical slowing down, which could be detected by, for example, increasing variance and autocorrelation (as early warning signals).



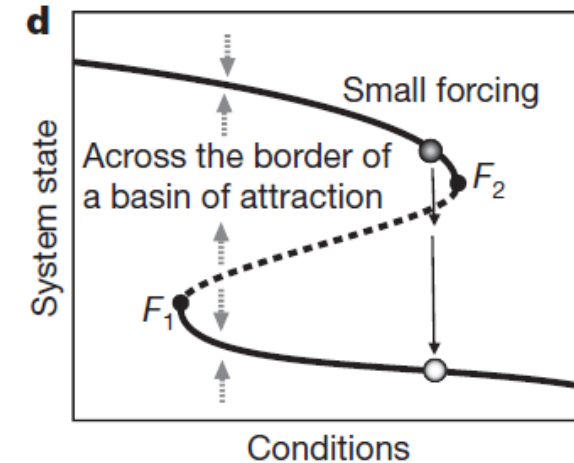
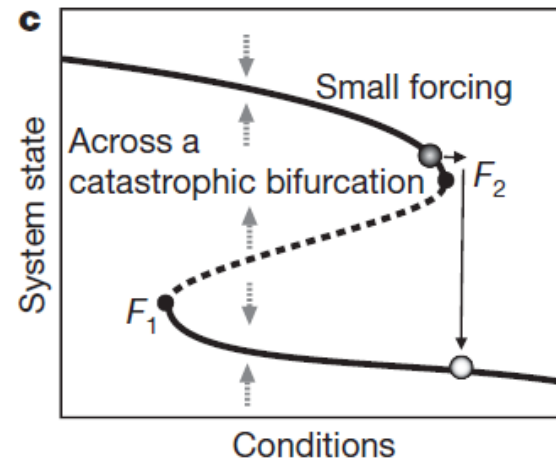
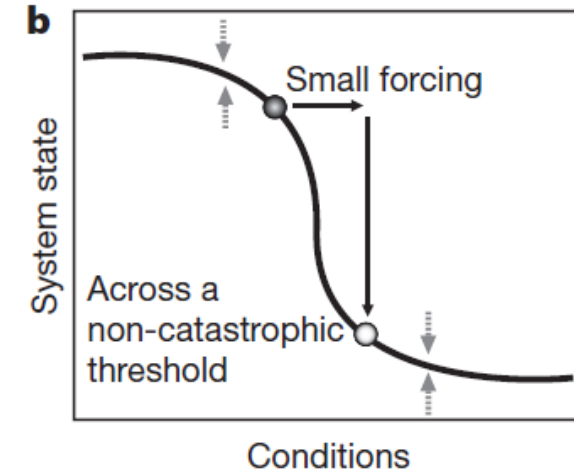
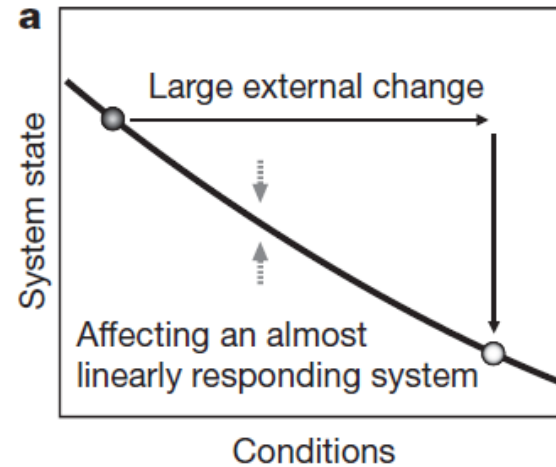
# Looking forward for questions ...



# Types of forcing -> response relationships

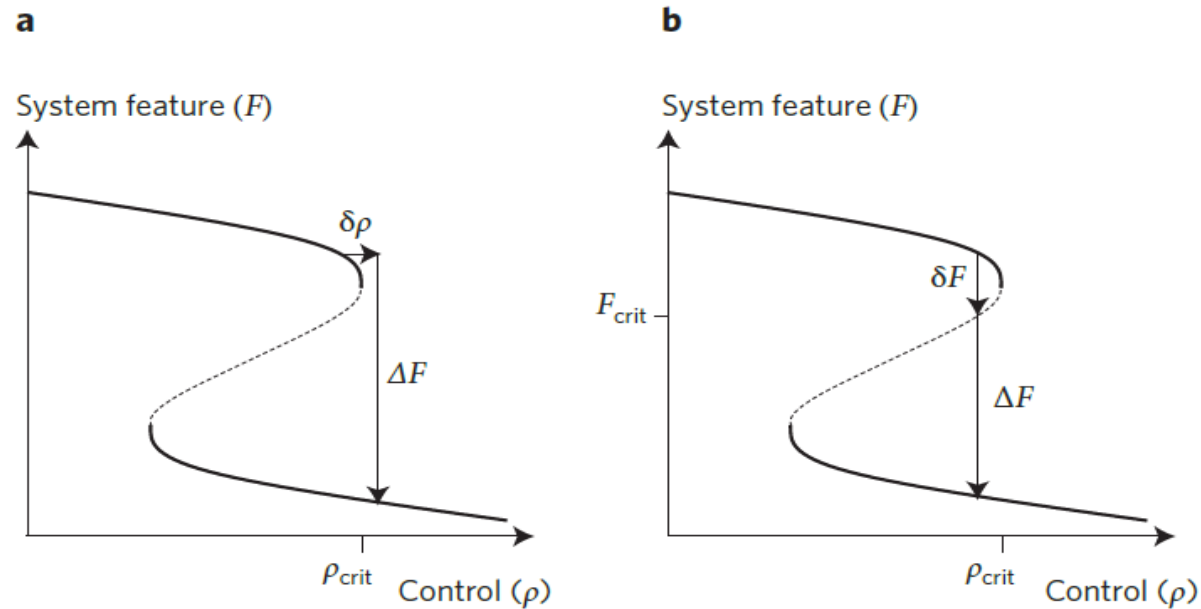


- A system can respond in different ways to changes in conditions (external forcing; e.g. increasing temperature).



- a) a linear response to forcing; the amplitude of change is linearly linked (comparable) with the amplitude of response;
- b) a non-linear response to forcing; a relatively small change in forcing results in a relatively large response;
- c) a tiny change in forcing may cause a large shift in response through a fold bifurcation, through which the system “jumps” to a very different state;
- d) a small perturbation may also cause a large shift in response by driving the system across the boundary between the attraction basins.

# Types of critical transitions



- Three types of critical transitions can be emphasized:
- **1) Bifurcation** (panel a) - where a small change in forcing ( $\delta\rho$ ) past a critical threshold  $\rho_{crit}$  causes a large, nonlinear change in system state ( $\Delta F$ );
- **2) Noise-induced transition** (panel b) - where internal short-term variability ( $\delta F$ ) passing an unstable steady state  $F_{crit}$  causes a large, nonlinear change in system state ( $\Delta F$ ) without any change in forcing control ( $\rho$ ) - are fundamentally unpredictable;
- **3) Rate-induced transition** – (panel b) where a fast change in the forcing moves the boundary of the attractor.

## An example of critical slowing down

- To see why the rate of recovery rate after a small perturbation will be reduced and will approach zero when a system moves towards a catastrophic bifurcation point, consider the following simple dynamical system, where  $\gamma$  is a positive scaling factor and  $a$  and  $b$  are parameters:

$$\frac{dx}{dt} = \gamma(x - a)(x - b)$$

- It can easily be seen that this model has two equilibria (at equilibria  $dx/dt=0$ ),  $\bar{x}_1 = a$  and  $\bar{x}_2 = b$ , of which one is stable and the other is unstable. If the value of parameter  $a$  equals that of  $b$ , the equilibria collide and exchange stability (in a transcritical bifurcation).
- Assuming that  $\bar{x}_1$  is the stable equilibrium, we can now study what happens if the state of the equilibrium is perturbed slightly ( $x = \bar{x}_1 + \varepsilon$ ):

$$\frac{d(\bar{x}_1 + \varepsilon)}{dt} = f(\bar{x}_1 + \varepsilon)$$

- Here  $f(x)$  is the right hand side of the first equation of this slide. Linearizing this equation using a first-order Taylor expansion yields:

$$\frac{d(\bar{x}_1 + \varepsilon)}{dt} = f(\bar{x}_1 + \varepsilon) \approx f(\bar{x}_1) + \left. \frac{\partial f}{\partial x} \right|_{\bar{x}_1} \varepsilon$$

## An example of critical slowing down

- The previous equation simplifies to:

$$\frac{d(\bar{x}_1)}{dt} + \frac{d\varepsilon}{dt} = f(\bar{x}_1) + \frac{d\varepsilon}{dt} = f(\bar{x}_1) + \left. \frac{\partial f}{\partial x} \right|_{\bar{x}_1} \varepsilon \Rightarrow \frac{d\varepsilon}{dt} = \lambda_1 \varepsilon \quad \frac{d\varepsilon}{\varepsilon} = \lambda_1 dt \Rightarrow \varepsilon = e^{\lambda_1 t}$$

- With eigenvalues  $\lambda_1$  and  $\lambda_2$  in this case, we have:

$$\lambda_1 = \left. \frac{\partial f}{\partial x} \right|_a = -\gamma(b - a)$$

- And for the other equilibrium:

$$\lambda_2 = \left. \frac{\partial f}{\partial x} \right|_b = \gamma(b - a)$$

- If  $b > a$  then the first equilibrium has a negative eigenvalue,  $\lambda_1$ , and is thus stable (as the perturbation goes exponentially to zero).
- It is easy to see from the two relations above that at the bifurcation ( $b=a$ ) the recovery rates  $\lambda_1$  and  $\lambda_2$  are both zero and perturbations will not recover.**
- Farther away from the bifurcation, the recovery rate in this model is linearly dependent on the size of the basin of attraction ( $b-a$ ). For more realistic models, this is not necessarily true but the relation is still monotonic and is often nearly linear.