

1. **Questions about Rayleigh-Bénard instability** (2 points)

Describe in words the Rayleigh-Bénard instability. The basic state possesses a steady-state solution in which there is no motion, and the temperature varies linearly with depth:

$$u = w = 0 \tag{1}$$

$$T_{eq} = T_0 + \left(1 - \frac{z}{H}\right) \Delta T \tag{2}$$

When this solution becomes unstable, ... (please continue)

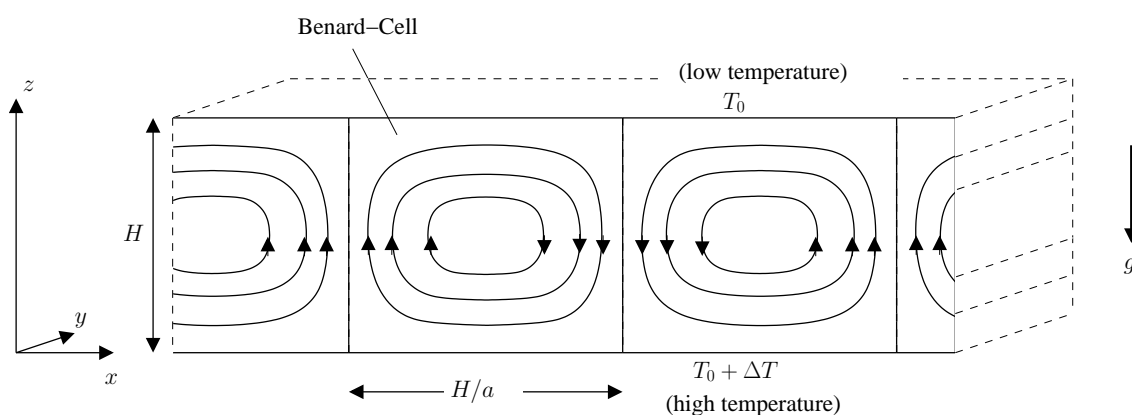


Figure 1: Geometry of the Rayleigh-Bénard system.

2. **Lorenz equations:** (5 points)

Consider the Lorenz equations which were derived from the Rayleigh-Bénard system (Fig. 1) in the lecture:

$$\dot{x} = \sigma(y - x) \tag{3}$$

$$\dot{y} = rx - xz - y \tag{4}$$

$$\dot{z} = xy - bz \tag{5}$$

with  $\sigma, r, b > 0$ .  $\sigma$  is the Prandtl number. Furthermore, Rayleigh number  $R_a \sim \Delta T$ , critical Rayleigh number  $R_c$ , and  $r = R_a/R_c$ .

- Evaluate the equilibrium points.
- Determine the stability of the  $(0, 0, 0)$ -equilibrium through linearization! Control parameter is  $r$ .
- Show the symmetry: The Lorenz equation has the following symmetry  $(x, y, z) \rightarrow (-x, -y, z)$  independent on the parameters  $\sigma, r, b$ .

3. Graphical method for bifurcations (3 points)

We introduce a graphical method to obtain stability or instability. Consider the "saddle-node bifurcation", one of the equilibrium points is unstable (the saddle), while the other is stable (the node). In Fig. 2, we can plot  $\frac{dx}{dt} = f(x)$  dependent on  $x$  (left panel) for

$$\frac{dx}{dt} = b + x^2 \quad (6)$$

with  $b < 0$  in this particular case (For  $b > 0$  we would have no equilibrium, and we have no point  $x_e$  with  $f(x_e) = 0$ ). We just consider the slope  $f'(x_e)$  and see that the filled circles with positive slope are unstable, the open circles with negative slopes are stable (right panel in Fig. 2).

- (a) Draw the bifurcations as in Fig. 2 for the pitchfork bifurcation.

$$\frac{dx}{dt} = r \cdot x + x^3 \quad (7)$$

- (b) Draw the bifurcations as in Fig. 2 for the transcritical bifurcation.

$$\frac{dx}{dt} = r \cdot x - x^2 \quad (8)$$

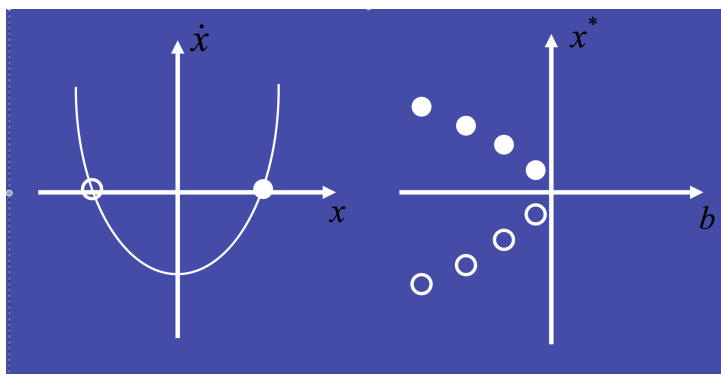


Figure 2: Saddle-node bifurcation diagram using the graphical method.

Notes on submission form of the exercises: Working in study groups is encouraged, but each student is responsible for his/her own solution. The answers to the questions can be sent until the due date (12:00) to Hanna Knahl (hanna.knahl@awi.de), Alexander Thorneloe (alexander.thorn@awi.de).